

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of report (Date of earliest event reported): October 13, 2021

**SINTX Technologies, Inc.**  
(Exact name of registrant as specified in its charter)

Delaware  
(State or other jurisdiction  
of incorporation)

001-33624  
(Commission  
File Number)

84-1375299  
(IRS Employer  
Identification No.)

1885 West 2100 South  
Salt Lake City, UT  
(Address of principal executive offices)

84119  
(Zip Code)

Registrant's telephone number, including area code: (801) 839-3500

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class:	Trading Symbol(s):	Name of each exchange on which registered:
Common Stock, par value \$0.01 per share	SINT	The NASDAQ Capital Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.07 Submission of Matters to a Vote of Security Holders**

On October 13, 2021, SINTX Technologies, Inc. held its reconvened 2021 annual meeting of stockholders at which the following matters were voted on:

**Proposal No. 1:** A proposal to elect one Class I director to hold office for a term expiring at the annual meeting of stockholders to be held in 2024 or until his respective successor is elected and qualified. This proposal was approved.

Nominee	Votes For	Votes Withheld	Broker Non-vote
Mark Froimson	3,965,763	602,214	6,823,938

There were no abstentions with respect to the election of directors.

**Proposal No. 2:** A proposal to ratify the Audit Committee's appointment of Tanner LLC as the Company's independent registered public accounting firm for the year ending December 31, 2021. This proposal was ratified.

For	Against	Abstain	Broker Non-vote
10,648,415	349,696	393,804	-

**Proposal No. 3:** A proposal to adopt, on an advisory basis, a non-binding resolution approving the compensation of the Company's named executive officers, as described in the

Proxy Statement under "Executive Compensation." This proposal was adopted.

<b>For</b>	<b>Against</b>	<b>Abstain</b>	<b>Broker Non-vote</b>
2,419,849	2,062,595	85,533	6,823,938

**Proposal No. 4:** A proposal to approve one or more adjournments of the annual meeting, if necessary or appropriate, to solicit additional proxies if there are insufficient votes at the time of the meeting to adopt one or more of the foregoing proposals. This proposal was approved.

<b>For</b>	<b>Against</b>	<b>Abstain</b>	<b>Broker Non-vote</b>
9,504,196	1,777,765	109,954	-

**Item 8.01 Other Events.**

On October 14, 2021, the Company issued a press release with respect to its Q3 2021 Revenue Update. A copy of this press release is filed herewith as Exhibit 99.1 and is incorporated herein by reference.

**Item 9.01 Financial Statements and Exhibits.**

<b>Exhibit No.</b>	<b>Description</b>
99.1	<a href="#">Press Release of SINTX Technologies, Inc. dated October 14, 2021</a>
104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SINTX TECHNOLOGIES, INC.

Date: October 14, 2021

*/s/ B. Sonny Bal*

B. Sonny Bal  
President and Chief Executive Officer



### SINTX Technologies Shares Q3 2021 Revenue Update

SALT LAKE CITY, Oct. 14, 2021 (GLOBE NEWSWIRE) - SINTX Technologies, Inc. ([www.sintx.com](http://www.sintx.com)) (NASDAQ: SINT) (“SINTX” or the “Company”), an original equipment manufacturer of advanced ceramics, announced today preliminary Q3 2021 revenue results and expectation of new Q4 2021 revenue from open orders of advanced ceramics prototypes.

Estimated revenue in Q3 2021 was approximately \$240k which is more than the revenue in each of Q1 and Q2 2021 . Revenue came from three business areas, including monolithic silicon nitride for spinal implants and SN-PEEK for spinal implants, silicon nitride powder for an antipathogenic fabric application, and boron carbide torso plates for armor.

SINTX anticipates new revenue sources in Q4 with several open prototype orders we expect to fill with advanced silicon nitride for separate aerospace engine and rocket components, FleX SN powder for antipathogenic fabric, and an industrial boron carbide application.

SINTX continues to expand and gain momentum within the key areas of its business and looks forward to providing a business update following the release of the Quarterly Report on Form 10-Q for Q3 2021.

### About SINTX Technologies, Inc.

SINTX Technologies is an OEM ceramics company that develops and commercializes advanced ceramics for medical and non-medical applications. The core strength of SINTX Technologies is the manufacturing, research, and development of ceramics for external partners. The Company presently manufactures silicon nitride powders and components in its FDA registered, ISO 13485:2016 certified, and ASD9100D certified manufacturing facility.

For more information on SINTX Technologies or its silicon nitride material platform, please visit [www.sintx.com](http://www.sintx.com).

### Forward-Looking Statements

This press release contains forward-looking statements within the meaning of the Private Securities Litigation Reform Act of 1995 (PSLRA) that are subject to a number of risks and uncertainties. Risks and uncertainties that may cause such differences include, among other things: our products may not prove to be as effective as other products currently being commercialized or to be commercialized in the future by competitors; risks inherent in manufacturing and scaling up to commercial quantities while maintaining quality controls; volatility in the price of SINTX’s common stock; the uncertainties inherent in new product development, including the cost and time required to commercialize such product(s); market acceptance of our products once commercialized; SINTX’s ability to raise funding and other competitive developments. Readers are cautioned not to place undue reliance on the forward-looking statements, which speak only as of the date on which they are made and reflect management’s current estimates, projections, expectations and beliefs. There can be no assurance that any of the anticipated results will occur on a timely basis or at all due to certain risks and uncertainties, a discussion of which can be found in SINTX’s Risk Factors disclosure in its Annual Report on Form 10-K, filed with the Securities and Exchange Commission (SEC) on March 22, 2021, and in SINTX’s other filings with the SEC. SINTX disclaims any obligation to update any forward-looking statements. SINTX undertakes no obligation to publicly revise or update the forward-looking statements to reflect events or circumstances that arise after the date of this report.

### Business Inquiries for SINTX:

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